

Journal Pre-proof

Perioperative management of patients with suspected or confirmed COVID-19: review and recommendations for perioperative management from a retrospective cohort study

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PII: S0007-0912(20)30731-5

DOI: <https://doi.org/10.1016/j.bja.2020.08.049>

Reference: BJA 1376

To appear in: *British Journal of Anaesthesia*

Received Date: 17 July 2020

Revised Date: 20 August 2020

Accepted Date: 21 August 2020

Please cite this article as: Zheng H, Hébert HL, Chatziperi A, Meng W, Smith BH, Yan J, Zhou Z, Zhang X, Luo A, Wang L, Zhu W, Hu J, Colvin LA, Perioperative management of patients with suspected or confirmed COVID-19: review and recommendations for perioperative management from a retrospective cohort study, *British Journal of Anaesthesia*, <https://doi.org/10.1016/j.bja.2020.08.049>.

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1 **Perioperative management of patients with suspected or confirmed COVID-19:**
2 **review and recommendations for perioperative management from a retrospective**
3 **cohort study**

4

5 Short title: COVID-19 perioperative management

6

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1 Abstract

2 Background: Current guidelines for perioperative management of COVID-19 are
3 mainly based on extrapolated evidence or expert opinion. We aimed to
4 systematically investigate how COVID-19 affects perioperative management and
5 clinical outcomes, to develop evidence-based guidelines.

6 Methods: First, we conducted a rapid literature review in Embase, Medline, PubMed,
7 Scopus, and Web of Science (1st January to 1st July 2020), using a predefined protocol.
8 Secondly, we performed a retrospective cohort analysis of 166 women undergoing
9 Caesarean section at Tongji Hospital, Wuhan during the COVID-19 pandemic.
10 Demographic, imaging, laboratory, and clinical data were obtained from electronic
11 medical records.

12 Results: The review identified 26 studies, mainly case reports/series. One large
13 cohort reported greater mortality in elective surgery patients diagnosed after, rather
14 than before surgery. Higher 30-day mortality was associated with emergency surgery,
15 major surgery, poorer preoperative condition and surgery for malignancy. Regional
16 anaesthesia was favoured in most studies and personal protective equipment (PPE)
17 was generally used by healthcare workers (HCW), but its use was poorly described
18 for patients. In the retrospective cohort study, duration of surgery, oxygen therapy
19 and hospital stay were longer in suspected or confirmed patients than negative
20 patients, but there were no differences in neonatal outcomes. None of the 262
21 participating HCWs was infected with SARS-CoV-2 when using level 3 PPE
22 perioperatively.

23 Conclusions: When COVID-19 is suspected, testing should be considered before
24 non-urgent surgery. Until further evidence is available, HCWs should use level 3 PPE
25 perioperatively for suspected or confirmed patients, but research is needed on its
26 timing and specifications. Further research must examine longer-term outcomes.

27 **Registration:** The rapid review was registered in PROSPERO (ID: CRD42020182891).

28

29 **Keywords:** Caesarean delivery; COVID-19; perioperative outcome; personal

1 protective equipment; SARS-CoV-2 testing

2

3 **Editor's key points**

4 The impact of COVID-19 on the perioperative management and clinical outcomes
5 were systematically investigated to develop evidence-based guidelines for
6 management.

7 A rapid review of 26 studies, mainly case reports/series, found greater mortality in
8 elective surgery patients diagnosed after, rather than before surgery.

9 Higher 30-day mortality was associated with emergency surgery, major surgery,
10 poorer preoperative condition and surgery for malignancy.

11 A retrospective cohort study found that duration of surgery, oxygen therapy and
12 hospital stay were longer in suspected or confirmed patients with COVID-19 than in
13 negative patients, with no differences in neonatal outcomes from Caesarean
14 delivery.

15 None of the participating HCWs was infected with SARS-CoV-2 when using level 3
16 PPE perioperatively.

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19

1 Coronavirus disease 2019 (COVID-19), resulting from severe acute respiratory
2 syndrome coronavirus 2 (SARS-CoV-2) infection, has become a global pandemic since
3 it was first described in Wuhan, China in December 2019¹. Over 19 million cases and
4 over 728,000 deaths have been reported worldwide as of August 2020². In the UK
5 alone, 310,829 cases have been reported with 46,574 deaths, and in China there
6 have been 89,270 cases and 4,693 deaths². In response to this health crisis,
7 guidelines have been published on the clinical management of patients undergoing
8 surgery to prevent transmission to healthcare workers (HCW) and adverse outcomes
9 in patients^{3,4}. These are mainly based on pre-existing practices rather than on data
10 from patients with suspected or confirmed COVID-19, and little is known about how
11 perioperative techniques affect transmission rates and outcomes in patients with
12 COVID-19.

13

14 A rapid review of clinical guidelines published early in the COVID-19 pandemic
15 concluded that their overall quality was low and their focus should be on
16 evidence-based recommendations, rather than consensus⁵. This study therefore had
17 2 objectives: 1) To conduct a rapid review of studies and case reports examining the
18 management of patients with suspected or confirmed COVID-19 undergoing surgery,
19 and subsequent morbidity, mortality, length of hospital stay, use of intensive care,
20 respiratory and pain support, and COVID-19 transmission to HCWs. 2) To examine
21 perioperative approaches and outcomes in a series of Caesarean section operations
22 undertaken in Tongji Hospital, Wuhan, during the COVID-19 outbreak

23 **Methods**

24 **Rapid Review**

25 Our review followed the Preferred Reporting Items for Systematic Reviews and
26 Meta-Analyses (PRISMA) guidelines⁶. Due to the fast-evolving nature of COVID-19
27 and the need to produce clinical evidence for making recommendations on patient
28 care that are readily available to HCWs in a timely manner, we adopted a rapid

1 approach to the review⁷. This involved a streamlined protocol whereby article
2 identification, appraisal and data extraction were shared between two reviewers,
3 with some overlap for quality control, instead of complete independent duplication.
4 Details of the protocol were registered on PROSPERO: International prospective
5 register of systematic reviews (ID: CRD42020182891) and can be accessed at
6 https://www.crd.york.ac.uk/prospERO/display_record.php?RecordID=182891.

7

8 Eligibility Criteria

9 *Population:* Any patient undergoing surgery who had confirmed or suspected
10 COVID-19 at the time of surgery.

11 *Intervention:* Any form of surgery and perioperative management undertaken whilst
12 the participant was suspected or confirmed as having COVID-19, except where the
13 procedure was conducted to treat COVID-19. Any studies not reporting details of
14 patient management at any time during the perioperative period (defined as 24 h
15 before and after surgery) were excluded from the review. Studies were also excluded
16 if they included patients who did not undergo surgery, and where it was not possible
17 to identify them separately from surgical patients.

18 *Comparator:* Where relevant, patients with suspected or confirmed COVID-19 who
19 were not subject to perioperative interventions.

20 *Outcomes:* Patient, HCW and neonatal postoperative outcomes, where relevant.

21 *Study type:* Observational studies including cross-sectional, case-control and cohort
22 designs as well as case-series or case-reports and randomised control trials (RCTs)
23 were included. As the database search, article screening and data extraction
24 processes were conducted by UK-based authors, only English language articles were
25 considered to avoid misinterpretation of the data. Unpublished studies, conference
26 abstracts and research theses or dissertations were excluded (Table 1).

27 We searched PubMed, MEDLINE, EMBASE, Scopus, and Web of Science for original
28 articles, reported in English. Databases were searched from 1st January 2020, with
29 initial search to 4th May 2020; the search was updated on 1st July 2020. As the

1 purpose of this study is to provide both clinical evidence and recommendations for
2 further research in a timely manner, it was decided to exclude studies with a sample
3 size of < 15 in the rerun of search terms (4th May-1st July 2020). Such studies are likely
4 to be dominated by lower quality case reports, which would not contribute
5 substantially to the overall evidence presented in this study. Reference sections of
6 included studies were also checked for relevant studies.

7 The search terms used for all five databases included words related to COVID-19 (the
8 population), surgical interventions and perioperative management (the
9 interventions). Comparator, outcomes and study type search terms were not used.
10 Where available, the study year filter was set to 2020 (Supplementary Table S1).

11 After retrieving articles from the databases, non-English language items and
12 duplicates were removed. HLH and LAC then independently screened the titles and
13 abstracts according to the inclusion and exclusion criteria to identify relevant studies.
14 Remaining articles then went through full-text review (HLH and LAC), noting reasons
15 for all exclusions. Any differences in opinion were settled by discussion between the
16 reviewers and, where necessary, the wider research team.

17 Data Extraction

18 A pro forma spreadsheet was constructed and data extraction was conducted
19 independently by HLH and AC, who reviewed an equal number of studies with a
20 6-study overlap for quality control. Any differences in data extraction for the
21 overlapped studies were resolved between HLH and AC. Due to the rapid nature of
22 the review, study authors were not contacted to resolve missing data or identify
23 further studies.

24 The following data items were extracted:

- 25 1. Study details – authors, journal, date of publication, country/countries where
26 the study took place, sample size and study design.
- 27 2. Patient characteristics – age, gender, body mass index (BMI)/weight,
28 comorbidities and method of diagnosing or suspecting COVID-19.
- 29 3. Surgical details – type, schedule, indications, duration and other relevant

1 details.

2 4. Perioperative management – HCW use and level of personal protective
3 equipment (PPE), patient use of PPE, patient time between symptoms and
4 surgery, type of anaesthesia (e.g. general/regional), analgesics used, pain
5 assessment, vasopressors used, blood loss and any other relevant details.

6 5. Postoperative outcomes – HCW COVID-19 status, patient discharge status,
7 length of hospital stay, use of intensive care unit (ICU) or high dependency
8 unit (HDU), level of respiratory support, use of analgesia, mortality and,
9 where relevant to the study, neonatal COVID-19 status, Apgar score,
10 mortality, discharge status and any other relevant reported details

11 Risk of Bias (Quality) Assessment

12 The quality of reporting of all included studies was evaluated by HLH and AC
13 according to the CAse REport (CARE) guidelines⁸ for case reports/series or the
14 Strengthening the Reporting of Observational Studies in Epidemiology (STROBE)
15 guidelines⁹ for cross-sectional, case-control and cohort studies. A quality score^{10, 11}
16 was calculated for each article based on a checklist of 36 items for CARE
17 (Supplementary Table S2) and 32-34 items for STROBE (Supplementary Table S3),
18 depending on the type of observational study. The presence of an item scored 1,
19 absence scored 0 and the total was calculated. A percentage of the maximum
20 possible score was also calculated and “high quality” was defined as any study
21 achieving a score of 80% or greater^{10, 12}. “Low quality” was defined as any study with
22 a score of < 80%. Higher scores indicate studies with reporting of higher quality.
23 Disagreements were resolved via discussion between the two reviewers.

24 Summary Measures

25 For case reports and series with sample size ≤ 5 , numeric values are reported
26 individually. Otherwise summary statistics are presented (e.g. median, mean, range,
27 interquartile range [IQR] or standard deviation [SD]) as reported in original papers.
28 Qualitative variables are reported as counts. A synthesis of the extracted data was
29 constructed, structured around the type of surgery performed, surgical practices,

1 population demographic and clinical characteristics, and type of outcome.
2 Recommendations for the perioperative management of patients with COVID-19
3 were developed from the synthesised evidence, and tables were constructed to aid
4 the presentation of the extracted data and quality assessment of each article.

5

6 Cohort Study

7 Study design and data sources and ethics

8 This single-centre, retrospective study was approved by the Institutional Review
9 Board of Tongji Hospital, Tongji Medical College, Huazhong University of Science and
10 Technology (TJ-IRB20200421). The requirement for informed consent from
11 participants was waived under the regulations of the Institutional Review Board.
12 Data, including demographic, clinical, imaging, laboratory, perioperative
13 management, and maternal and fetal outcomes, were extracted from the electronic
14 database of medical records at Tongji Hospital, and anonymised for analyses.

15 Data from all parturients who underwent Caesarean section (including emergency
16 surgery) during the COVID-19 pandemic in Wuhan were included. In order to ensure
17 completeness of reported data, we included all patients who had undergone
18 Caesarean section in the defined time period; some of these data have been
19 reported previously by other groups^{13, 14}.

20 COVID-19 case definitions were based on the National Health Commission of China's
21 diagnostic criteria (7th edition) (Box 1)¹⁵. A confirmed case of COVID-19 was defined
22 as a suspected case with a positive result of real-time reverse transcriptase-
23 polymerase chain reaction (RT-PCR) assay of respiratory tract specimen or of
24 serum-specific antibodies to SARS-CoV-2. If the results of two RT-PCR tests taken at
25 least 24 h apart, and serum-specific antibodies to SARS-CoV-2 detected at least 7
26 days after the onset of the disease, were negative in a suspected case, the diagnosis
27 of COVID-19 was excluded. All patients were tested with RT-PCR or antibodies or
28 chest computed tomography (CT) when possible. If COVID-19 was suspected or
29 confirmed, follow-up tests were performed after surgery.

1

2 Perioperative management

3 Before entering the operating room, triage was performed by obstetricians and
4 anaesthetists, including a medical history review, brief physical examination, and
5 review of blood test results, CT, and tests for SARS-CoV-2 nucleic acid or antibodies.

6 Because individuals might be infected with SARS-CoV-2 but be asymptomatic, all
7 patients were placed in an isolation holding area and transferred to a dedicated
8 negative pressure operating room with an anteroom (buffer area). Patients wore
9 surgical or N95 masks throughout the process. After the patient entered the
10 operating room, continuous electrocardiography, regular non-invasive blood pressure,
11 and peripheral pulse oximetry were monitored. Spinal anaesthesia or combined
12 spinal-epidural anaesthesia was the primary technique. General anaesthesia with
13 tracheal intubation was an option under certain circumstances such as
14 contraindications of spinal anaesthesia, maternal or fetal emergencies, or failed
15 spinal anaesthesia. During tracheal intubation, surgeons and nurses remained in the
16 operating room to ensure that surgery started as soon as possible after induction.
17 The neonatal team was notified before delivery in order to attend and make any
18 necessary preparations. After delivery, newborns were cleaned immediately to
19 remove blood clots, meconium and amniotic fluid, and were then placed under a
20 radiant warmer in a cordoned-off area in the operating room. Apgar scores of
21 newborns were assessed at 1 and 5 min. For patients with suspected or confirmed
22 COVID-19, their newborns were transferred to a neonatology isolation room shortly
23 after delivery. SARS-CoV-2 nucleic acid tests were then carried out as soon as
24 possible in all newborns. Maternal contact was not allowed.

25 One day after surgery, full blood count and coagulation tests were performed in
26 parturients. If COVID-19 was suspected or confirmed, chest CT, SARS-CoV-2 nucleic
27 acid or antibodies were tested again. Body temperature or any other symptoms
28 associated with COVID-19 were recorded daily by nurses throughout the hospital stay.
29 According to parturients' clinical condition, supplemental oxygen was delivered via

1 nasal cannula or mask to maintain an SpO₂ of 95% or above. Other methods of
2 non-invasive or invasive ventilation were considered if necessary. Diclofenac and/or
3 dezocine was given, as requested by the parturients, to relieve postoperative pain.

4

5 Perioperative protection and postoperative evaluation of healthcare workers
6 Self-protection precautions were strictly followed by all participating HCWs. Level 3
7 PPE, including N95 mask, fluid-resistant gown, goggles, face shield, disposable hair
8 cover, head covering, two layers of gloves, and fluid-resistant shoe covers, was used
9 by all HCWs involved. PPE was donned before entering the operating room and was
10 doffed after exiting operating room in buffer area. All HCWs involved had a 24-h duty
11 shift every one to two weeks. They were required to report any COVID-19 related
12 symptoms such as fever, cough or fatigue. At the beginning of April, 2020, all HCWs
13 were required to have a SARS-CoV-2 antibody test, a test for SARS-CoV-2 nucleic acid
14 by nasopharyngeal swab, and a chest CT scan.

15

16 Statistical analysis
17 Suspected or confirmed cases were categorised together and compared with
18 negative cases. Maternal outcomes including duration of operation, oxygen therapy,
19 hospital stay, and fetal outcomes such as Apgar scores were compared between
20 groups. Continuous variables are presented as median (IQR). These data failed the
21 Shapiro-Wilk test for normality, and significance was calculated using Mann-Whitney
22 U tests. Categorical variables are expressed as number (%) and analysed using
23 chi-square tests. SPSS 21.0 statistical software (SPSS, Inc. Chicago, IL, USA) was used
24 for all statistical analyses. A 2-sided P-value <0.05 was considered to be statistically
25 significant.

1 Results

2 Rapid Review

3 Study Selection

4 The workflow for identifying and screening articles is provided in figure 1. The initial
5 literature searches yielded 3,227 papers. The re-run of the search yielded a further
6 107 articles. After removal of duplicates, non-English language papers and title and
7 abstract screening, 64 articles remained for full-text review. Articles identified during
8 the re-run of search terms (from 4th May to 1st July, 2020) that were excluded on the
9 basis of having a sample size ≤ 15 are shown in Supplementary Table S4. A full list of
10 the 38 articles excluded on full-text review, with reasons, is provided in
11 Supplementary Table S5. We therefore identified 26 articles for inclusion in this
12 review¹⁶⁻⁴¹.

13 Study Characteristics

14 The characteristics of each included study are summarized in Table 2. There were no
15 RCTs, and 22 of the papers were lower quality case reports or case series^{16, 17, 19, 21-32,}
16 ^{34-39, 41}. The remaining 4 were observational studies, of which 2 were cohort studies^{20,}
17 ³³, 1 was a small cross-sectional study (n=7)¹⁸ and 1 was a retrospective 4-centre
18 clinical study (n=37)⁴⁰. The cross-sectional study was published without
19 peer-review¹⁸. Only one study met our definition of "high quality"³³.

20 Sixteen of the studies were conducted in China, where the virus was first reported^{19,}
21 ^{21, 22, 25, 27, 29, 30, 32, 34-41}. Three were conducted in Italy¹⁸, whilst 1 study was conducted
22 in each of Iran¹⁸, Peru¹⁶, Portugal³¹, Republic of Korea²⁸, Sweden²⁶ and USA²⁴. One
23 paper was a multi-centre cohort study conducted in 24 different countries, led by a
24 centre in the UK³³.

25 Risk of Bias (Quality) Assessment

26 CARE Quality assessment scores ranged from 7 to 26 (out of 36) for the case reports
27 and case series STROBE scores ranged from 10 to 33 (out of 34) for the observational
28 studies (Table 2). A full breakdown of scores for each study is provided in

1 Supplementary Tables S6 and S7.

2 Due to the limited sample sizes of the included studies, the heterogeneity in
3 surgeries performed and approaches to perioperative management, and the inherent
4 lack of comparative groups in the case reports, it was not possible to conduct a
5 meta-analysis to estimate effect sizes and we could not quantitatively assess risk of
6 bias across studies.

7 COVID-19 status

8 Diagnosis of COVID-19 and timing of diagnosis (relative to surgical procedure) were
9 variably reported, applying a range of diagnostic criteria. Suspected COVID-19 was
10 usually based on relevant symptoms. All of the studies used RT-PCR for SARS-CoV-2
11 RNA or chest CT for diagnosis (though 1 study did not report diagnostic criteria³²).
12 Four studies used RT-PCR only^{26, 29, 31, 35}, 2 studies used CT only^{18, 27} and 19 studies
13 used a combination of both^{16, 17, 19-25, 28, 30, 33-41}. In some places RT-PCR was not
14 available³³. Specimens used for RT-PCR included nasopharyngeal, oropharyngeal,
15 sputum, tracheal tube tip and bronchoalveolar lavage. Although not fully reported in
16 all studies, RT-PCR tests were negative in some cases despite CT findings (and in
17 some cases, symptoms) consistent with COVID-19^{25, 41}.

18 Perioperative management

19 The total number of surgical procedures reported in the included studies was 1,370,
20 including gastrointestinal/abdominal (n=393)^{18, 20, 25, 33, 40}, orthopaedic (n=352)^{17, 18, 20,}
21 ^{33, 40, 41}, obstetric/gynaecologic (n=166)^{16, 19, 21-23, 26, 28-31, 33-41}, cardiothoracic/vascular
22 (n=146)^{20, 24, 27, 33, 40}, hepatobiliary (n=62)³³, neurosurgical (n=47)^{20, 33, 40}, head and
23 neck (n=40)³³, urologic (n=37)³³, other surgeries (n=63)^{33, 40} and missing details
24 (n=64)^{32, 33}. The schedule of surgeries, where reported, were classed as elective
25 (n=316), and urgent or emergency (n=949). At least 153/166 of the
26 obstetric/gynaecologic surgeries were Caesarean sections. Most of the other
27 surgeries were for cancer or trauma (Supplementary Table S8).

28 Most studies reported surgical procedures performed under neuraxial anaesthesia
29 (Table 3). Ten reported procedures (53 Caesarean sections, 17 orthopaedic) using

1 neuraxial anaesthesia only^{17, 22, 26, 28, 30, 31, 34, 36, 37, 41} and 3 reported procedures (5
2 aortic dissections and 1 Caesarean section) using general anaesthesia only^{16, 24, 27},
3 whilst 6 reported a mix of surgeries performed using either general or neuraxial
4 anaesthesia^{19, 20, 32, 33, 35, 40}. When reported, spinal, epidural or a combination of the
5 two methods were used. Exact details of which anaesthetics and analgesics were
6 used were only reported in 5 of the 26 studies^{19, 28, 34, 37, 41}. It is not clear whether
7 there were any changes from standard anaesthetic/analgesic practice because of
8 COVID-19.

9 Use of Personal Protective Equipment and infection reduction strategies

10 Patient use of PPE was poorly reported, with only 9 studies stating that patients wore
11 any protection^{19, 21-23, 28, 29, 35, 38, 39}. Six of these reported the use of surgical masks
12 only^{19, 21, 22, 28, 35, 38}, with N95 mask respirators specifically mentioned in 3 studies^{21, 22,}
13 ²⁸.

14 HCW use of PPE was more comprehensively reported, with 16 studies describing
15 perioperative use^{19, 22-31, 35-38, 41}. Reported type of PPE used by HCWs was
16 wide-ranging with N95 mask respirator, disposable surgical cap, medical goggles or
17 positive-pressure headgear, and disposable protective clothing, gloves and
18 shoes/shoe covers described. However, details on duration of PPE use, and at what
19 points during the perioperative period (e.g. only during
20 intubation/aerosol-generating procedures), were lacking.

21 Nine of the studies in our review reported using operating rooms with negative
22 pressure^{19, 21, 22, 24, 28, 29, 35, 36, 38}. Only 1 of these studies also described the
23 postoperative care of a patient in a negative pressure ICU²⁴, although 2 studies
24 described sending neonates to negative-pressure wards immediately after birth^{29, 31}.
25 However details on other elements of ventilation such as air changes per hour,
26 direction and filtration were lacking.

27 Twelve of the studies describing Caesarean sections reported immediate separation
28 of the neonates from their mothers following delivery, aiming to reduce risks of
29 postpartum infection. Eight of these were conducted in China^{19, 21, 30, 34-36, 38, 39}, while

1 the other 4 were conducted in Italy²³, Portugal³¹, Peru¹⁶, and the Republic of Korea²⁸.
2 Three studies reported on the decontamination of the anaesthesia machine
3 following surgery^{19, 24, 40}, with two of the studies reporting no HCW infection with
4 SARS-CoV-2^{19, 24} (the third study did not report HCW COVID-19 status⁴⁰). A further
5 study reported the discarding of disposable anaesthetic devices after single use²⁷.

6 Patient outcomes

7 Patient outcomes reported included length of hospital stay, requirement for critical
8 care, level of respiratory support and respiratory complications, discharge status, and
9 mortality (Supplementary Table S9). None of the included studies reported on all
10 these outcomes. Reporting on discharge status was very limited. Twelve studies
11 reported length of stay in hospital, which ranged from 5 to 52 days^{18-20, 22, 25, 26, 28-31, 33,}
12 ³⁵.

13 In the largest cohort study (n=1,128), the median length of stay in hospital (IQR) was
14 10 days (3-27) for minor surgery and 17 days (8-29) for major surgery, reported in a
15 total of 1,083 patients³³. This study reported an overall 30-day mortality of 23.8%,
16 with a higher rate of mortality in patients undergoing elective surgery where the
17 presence of SARS-CoV-2 virus had been confirmed postoperatively rather than
18 preoperatively (20.4% vs 9.1%). A number of patient factors were found to be
19 associated with higher 30-day mortality including male sex (odds ratio [OR] = 1.75,
20 95% confidence interval [CI] = 1.28-1.40), emergency surgery (OR = 1.67, 95% CI =
21 1.06-2.63), major surgery (OR = 1.52, 95% CI = 1.01-2.31), older age (>70 yr) (OR =
22 2.30, 95% CI = 1.65-3.22), poorer preoperative condition as assessed by American
23 Society of Anesthesiologists physical status classification (OR = 2.35, 95% CI =
24 1.57-3.53) and surgery for malignancy (OR = 1.55, 95% CI = 1.01-2.39). Pulmonary
25 complications, defined as pneumonia, acute respiratory distress syndrome or
26 unexpected postoperative ventilation, occurred in 51.2% of patients with COVID-19,
27 and was associated with increased mortality compared to those who did not develop
28 complications (38.0% vs 8.7%).

29 Postoperative use of ICU was poorly reported and where it was reported (9 studies)¹⁸,

1 20, 22-25, 27, 32, 33 it was not always clear whether patients had been transferred there
2 due to COVID-19 or whether they would have been transferred there because of the
3 indication for surgery²⁷. Postoperative respiratory support was described in 10
4 studies^{17, 18, 20, 23, 24, 26, 27, 31, 33, 37}, but as with ICU use it was not clear in some papers
5 whether this would have occurred anyway. Postoperative use of analgesia was only
6 reported in 3 studies^{17, 28, 37}, with only 1 reporting any formal pain assessment¹⁹.
7 Reporting of outcomes in neonates was more consistent, with 16 studies (out of 19
8 studies involving obstetric surgeries) reporting COVID-19 status^{16, 19, 21-23, 26, 28-31, 34-39}
9 and 12 of those studies reporting only negative test results, mainly for RT-PCR^{19, 21, 22,}
10 ^{26, 28-31, 34-38}. Of the other 4 studies, 2 reported only positive tests^{23, 39} and 2 reported
11 a mix of positive and negative results^{16, 35}. Apgar scores were reported in 14 studies
12 (of the 19 involving obstetric surgeries), and these were generally very good or
13 excellent^{16, 19, 21-23, 26, 28, 30, 31, 34-38}. No neonatal mortalities were reported in any of the
14 studies.

15 Healthcare worker outcomes

16 Most of the studies reported outcomes within a few days to 2 weeks after surgery.
17 HCW COVID-19 outcomes were only reported in 10 studies^{19, 22-24, 28, 30, 32, 35, 37, 41}. One
18 of these, a case series of 49 patients including outcomes from 44 anaesthetists,
19 reported 5 anaesthetists testing positive for SARS-CoV-2 on RT-PCR testing following
20 delivery of spinal anaesthesia during Caesarean section or orthopaedic surgery⁴¹.
21 One of the 5 anaesthetists testing positive for SARS-CoV-2 had worn level 3 PPE
22 (2.7% of all who wore level 3 PPE), while 4 had worn level 1 PPE (57.1% of all who
23 wore level 1 PPE), suggesting better HCW protection with level 3 PPE. This also
24 appears to be supported by 8 of the other 9 studies where no HCW SARS-CoV-2
25 infections were reported when using PPE^{19, 22-24, 28, 30, 35, 37}. Three of these studies
26 reported level 3 PPE^{22, 30, 37}, 1 reported biosafety level 3¹⁹ and 4 studies described
27 PPE in detail including N95 mask, eye goggles, face shield and surgical gown^{23, 24, 28, 35}.
28 However, we can only make tentative recommendations on the use of PPE as it was
29 not clearly reported how long PPE was worn before, during and/or after the surgery

1 and whether any changes were made to the level of PPE worn at any stage (for
2 example following intubation/extubation of the patient). Furthermore, we cannot be
3 sure that HCW infection occurred as a result of caring for patients with COVID-19
4 rather than other sources such as infected colleagues or in the wider community⁴¹.

5

6 Cohort Study

7 Patient characteristics

8 Between 23rd January 2020 and 31st March 2020, 166 parturients underwent
9 Caesarean section and were included in this study. Before surgery, 2 patients were
10 confirmed to be infected with SARS-CoV-2 and 36 patients were considered as
11 suspected cases based on the above criteria (Box 1). After surgery, 5 suspected cases
12 were confirmed and 11 suspected cases were ruled out. Finally, 7 confirmed cases
13 and 20 suspected cases of COVID-19 were identified. One case report¹⁴ and 5
14 patients (patient 1, 4, 5, 6 and 7) from a case series¹³ were reported previously by
15 others. The other 2 patients (patient 2 and 3) in the case series¹³ undergoing
16 Caesarean section between 1st January, 2020 and 23rd January, 2020 were not
17 included in the current study. All 20 suspected cases had imaging features of
18 COVID-19. They were tested with RT-PCR only before discharge and the results were
19 negative. For analysis, we combined these suspected cases and confirmed cases as 1
20 group (n=27) and patients not (suspected to be) infected with SARS-CoV-2 as a
21 second 'negative' group (n=139). As shown in Supplementary Table 10, the BMI of
22 suspected or confirmed patients was higher than that of negative patients (P =
23 0.034). Symptoms associated with COVID-19 occurred only in suspected or confirmed
24 patients; fever was the commonest with an incidence of 44.4%, followed by cough
25 (14.8%) and diarrhoea (3.7%).

26 Laboratory findings of patients before and after Caesarean section are summarised in
27 Supplementary Table 11. Compared with baseline pre-procedural values, increased
28 leukocyte and neutrophil counts were observed after surgery in all patients.
29 Compared with negative patients, suspected or confirmed patients had lower

1 leukocyte ($P = 0.003$ before surgery; $P = 0.047$ after surgery) and lymphocyte ($P =$
2 0.030 before surgery; $P = 0.041$ after surgery) counts during the perioperative period.
3 Baseline preprocedural C-reactive protein levels in confirmed or suspected patients
4 were higher than negative patients ($P = 0.014$), but were not difference from
5 postsurgical levels. In negative patients, there were significantly elevated levels of
6 CRP ($P = 0.006$) and D-dimer ($P = 0.011$) after surgery compared with baseline
7 preprocedural values.

8 Characteristics of anaesthesia and surgery

9 An overview intraoperative characteristics is shown in Supplementary Table 10.
10 Regional anaesthesia was the commonest type of anaesthesia and was performed in
11 142 (85.5%) of parturients. Duration of operation in suspected or confirmed patients
12 was longer than that in negative patients ($P = 0.003$). However, there were no
13 significant differences in blood loss, fluid management, or use of vasoactive drugs
14 and flurbiprofen.

15 Maternal and fetal outcomes

16 As listed in Supplementary Table 10, 48.8% of patients received diclofenac and/or
17 dezocine for postoperative pain. There was no significant difference between
18 suspected or confirmed patients and negative patients. Both the duration of oxygen
19 therapy ($P < 0.001$) and length of hospital stay ($P < 0.001$) were significantly longer in
20 suspected or confirmed patients than negative patients. No suspected or confirmed
21 patients developed severe pneumonia or received non-invasive or invasive
22 mechanical ventilation. However, a negative patient with liver cancer was intubated
23 and died due to pulmonary embolism after surgery.

24 The median Apgar scores were 8 at 1 min and 9 at 5 min. There were no apparent
25 differences between neonates in the suspected or confirmed group and the negative
26 group. In the negative group, a neonate delivered at 25 weeks gestation died 10 min
27 after birth. In the confirmed group, a neonatal COVID-19 infection with positive
28 RT-PCR assay results on pharyngeal swab was reported 36 h after birth, which had
29 been reported in a previous study¹³. However, the results of nucleic acid tests for

1 SARS-CoV-2 on placenta specimens, cord blood and mother's breast milk in this
2 mother–neonate dyad were all negative.

3 Postoperative evaluation of healthcare workers

4 A total of 262 HCWs including 71 anaesthetists, 60 obstetricians and 131 nurses
5 (circulating nurses, instrument nurses and neonatal nurses) were involved in these
6 Caesarean sections. Level 3 PPE was used by all the HCWs during the operation.

7 None of them reported COVID-19 related symptoms during the COVID-19 pandemic.

8 As of 15th April, 2020, none of them has been infected with SARS-CoV-2 according to
9 chest CT findings, RT-PCR testing and/or SARS-CoV-2 antibody testing.

1 Discussion

2 Our rapid literature review identified 26 studies reporting perioperative management
3 of patients with suspected or confirmed COVID-19. To our knowledge this is the most
4 comprehensive such review to date. Most studies were low-quality case
5 reports/series with low sample size, and even amongst the observational studies,
6 perioperative management was not necessarily the main focus of any quantitative
7 analysis conducted^{20, 33} and was poorly reported¹⁸. Thus, a cohort study of Caesarean
8 sections, especially focusing on perioperative management and patients and HCW
9 outcomes, was performed to augment the included evidence base.

10 All studies included in the review used either RT-PCR or chest CT to diagnose
11 SARS-CoV-2/COVID-19. This approach appears to be supported by the fact that
12 RT-PCR testing did not always produce positive results, despite the presence of
13 relevant clinical symptoms and the elimination of other viruses or comorbidities that
14 could potentially explain those symptoms. In our cohort study, only 5 out of 27
15 participants with suspected or confirmed COVID-19 were positive for SARS-CoV-2 by
16 RT-PCR. The wider literature has also reported uncertainty in diagnostic performance
17 of RT-PCR⁴² and when compared to CT their sensitivity ranges from 50-81%⁴³⁻⁴⁵. The
18 use of CT does need to be balanced against the extra risk of exposing patients to
19 radiation, particularly for women undergoing Caesarean section whose fetus will also
20 be exposed⁴⁶. This is an area that requires further investigation, but consideration
21 should be given to using both approaches in diagnosing COVID-19.

22 The timing of COVID-19 testing also needs to be considered since higher mortality
23 was reported in patients undergoing elective surgery where the presence of
24 SARS-CoV-2 virus was confirmed postoperatively rather than preoperatively (20.4%
25 vs 9.1%)³³. Performing tests preoperatively will enable informed decisions about the
26 postponement of surgeries to be made for patients who test positive and are thus at
27 increased risk of postoperative complications. There may also be requirements to
28 ensure appropriate levels of care, such as facilities or staffing, are available for the

1 postoperative period should complications arise. COVID-19 testing may also
2 influence ICU admissions and transmission to HCWs⁴⁷⁻⁴⁹. This further suggests that
3 testing for possible SARS-CoV-2 infection should take place before surgery, as
4 supported by the American Society of Anesthesiologists and Anesthesia Patient
5 Safety Foundation joint guidelines⁵⁰. However this might be difficult for emergency
6 surgery, therefore a standardised diagnosis and treatment protocol for emergency
7 patients should be developed. This is already happening in some places and whilst
8 pre-operative screening will potentially increase the time between admission and
9 surgery, initial evidence suggests that this risk can be minimised to the point that it
10 can be balanced against the potential risk of performing surgical procedures in
11 COVID-19 patients⁵¹. Further research is needed to establish whether the testing
12 pathway is of more clinical benefit than not having it. In patients with suspected or
13 confirmed COVID-19, the COVID-19 status of newborns should also be taken into
14 account where relevant. Testing should be performed as soon as possible after
15 delivery to help prevent transmission to HCWs and to ensure risk to the newborn is
16 minimised, with early recognition and management of symptoms.

17 Despite being included in perioperative anaesthesiology guidelines for HCWs in both
18 the US and China^{3, 50}, PPE use was poorly reported by studies in patients (9 studies)^{19,}
19 ^{21-23, 28, 29, 35, 38, 39}. Current guidance in the UK is that anyone with suspected or
20 confirmed COVID-19 should wear a surgical face mask in clinical areas, communal
21 waiting areas and during transportation as long as this does not compromise their
22 clinical care⁵². In tuberculosis patients, use of surgical facemasks has been shown to
23 confer a 56% decreased risk of transmission compared to those not wearing a mask⁵³.

24 A literature review of studies analysing the effectiveness of respiratory protection for
25 HCWs against infectious diseases found that guidelines were consistent in
26 recommending at least an N95 respirator for care of patients with tuberculosis⁵⁴.

27 Despite this, there is currently no evidence that patient use of face masks reduces
28 risk of COVID-19 transmission to HCWs, despite these studies not reporting any HCW
29 infections^{19, 21-23, 28, 29, 35, 38, 39}. Better reporting was observed relating to HCWs

1 themselves. A recent study showed the effectiveness of HCWs wearing PPE in
2 preventing COVID-19 infection and advocated its continued use in the absence of a
3 vaccine⁵⁵. In our cohort study, none of the 262 HCWs developed COVID-19,
4 suggesting that both regional and general anaesthesia can be delivered safely to
5 patients with COVID-19 when surgical or N95 masks are applied in patients and level
6 3 PPE is used by HCWs during the perioperative period. The use of aprons, sterile
7 fluid resistant disposable gown, sterile gloves, fluid resistant surgical masks and eye
8 protection is recommended in the UK for Caesarean sections⁵⁶. However, high-level
9 PPE is difficult to work in. For this reason it is important that future studies report on
10 the duration of PPE use, whether they were used at particular points in the surgical
11 process as some procedures are considered particularly high risk of airborne
12 transmission, and what levels constitute safe use⁵⁷. It is also important to establish
13 when PPE use is not necessary, to prevent wastage. Until these questions are
14 addressed, HCWs should continue to use level 3 PPE during the perioperative period
15 for all untested, suspected or confirmed cases of COVID-19 during times of pandemic
16 and local outbreak⁵⁵.

17 Although this was not analysed directly with respect to postoperative outcomes, we
18 found that 9 of the studies reported conducting surgical procedures in negative
19 pressure operating rooms^{19, 21, 22, 24, 28, 29, 35, 36, 38}. Negative pressure rooms are
20 commonly used in infection control and ensure that air continually flows into the
21 room, rather than the surrounding area. However, most hospitals only have a limited
22 number of negative pressure operating rooms and therefore have to adapt additional
23 rooms for this purpose. As current recommendations on minimum environmental
24 ventilation requirements are based on previous non-COVID-19 work, further analysis
25 and reporting on ventilation characteristics is required³.

26 We identified 12 studies reporting the separation of neonates from mothers
27 following Caesarean section^{16, 19, 21, 23, 28, 30, 31, 34-36, 38, 39}. In our cohort study, newborns
28 of mothers with suspected or confirmed COVID-19 were also transferred to an
29 isolated observation ward after birth. At least in China, where 9 of those studies were

1 conducted, this represents a significant change from standard practice where
2 normally mother and child skin-to-skin contact is encouraged, with recognised
3 neurobiological benefits for mother and neonate. Although a newborn whose
4 mother was confirmed with COVID-19 tested positive 36 h after birth in our cohort
5 study, whether the case was a contact transmission or a vertical transmission
6 remains to be confirmed. Since the remaining studies did not accurately report level
7 of mother and child contact, it is not possible to determine whether separation
8 decreases the risk of SARS-CoV-2 infection. Emerging data suggest that allowing
9 neonates to room in with their mothers and breastfeed confers low risk of perinatal
10 and vertical transmission when a face mask is worn and proper hygiene is
11 observed⁵⁸. Because of these clinical implications and the potential impact on
12 maternal-neonate interaction, this area requires urgent investigation.

13 A large cohort study identified patient and surgical factors associated with 30-day
14 mortality³³. This multicentre study is easily the largest study of postoperative
15 outcomes in patients with COVID-19 and because of the size and quality of the
16 analysis, it is the only study from which we can make strong conclusions³³.
17 Consequently, future studies should consider longer-term reporting of health
18 outcomes.

19 Previous studies found low mortality rates (1%) and requirement for respiratory
20 support (10%) amongst pregnant women with COVID-19, as well as low neonatal
21 transmission (5%), which our study supported^{59, 60}. However, the duration of
22 operation, oxygen therapy and length of hospital stay were significantly longer in
23 suspected or confirmed patients than negative patients. An optimal approach to
24 perioperative management in COVID-19 patients including appropriate use of
25 anaesthetics and analgesics needs to be determined in future studies.

26

27 Strengths and Limitations

28 A major strength of the rapid review approach is the ability to quickly synthesise
29 relevant original articles and identify current perioperative practices that are

1 associated with favourable postoperative outcomes. This has already enabled us to
2 make early clinical recommendations (Box 2) on the perioperative management of
3 COVID-19 to the Scottish Government via the Scottish Intercollegiate Guidelines
4 Network (SIGN), which can be disseminated to policymakers and HCWs and inform
5 future perioperative practice (Roberta James, SIGN Programme Lead, personal
6 communication, 2020).

7 Because COVID-19 is a new and developing disease, hospital departments are having
8 to adapt quickly to ensure optimum care and they rely on quick and accurate clinical
9 guidance on how to provide this. However, many hospitals are not set up to conduct
10 rapid research involving data collection, particularly during a global pandemic, and
11 consequently there are gaps in reporting that this review has identified. A possible
12 solution to this is to implement electronic health (eHealth) recording of patient data
13 to ensure automated availability of relevant items of interest.

14 Converse to the rapid synthesis of the current literature, the short period of time that
15 COVID-19 has been in existence relative to other infectious diseases means that
16 there has not been enough time for many large and comprehensive cohort studies to
17 be published, and therefore the majority of studies included in this review are case
18 reports and series. This means that the clinical implications of these studies should
19 be treated with caution until further robust studies are published, preferably in the
20 form of RCTs such as the Randomised Evaluation Of COVID-19 Therapy (RECOVERY)
21 Trial (<https://www.recoverytrial.net/>)⁶¹.

22 The rapid nature of this review means that more recently published articles may have
23 been missed, though we mitigated this risk by conducting a further (targeted)
24 literature search prior to submission. Excluding those not in English is pertinent given
25 the global status of the COVID-19 pandemic. We also had to exclude 2 studies from
26 Tongji Hospital in Wuhan as some of the participants were also included in the cohort
27 study for this paper^{13, 14}.

28

1 Conclusions

2 From this rapid literature review and cohort study, we can make early clinical and
3 research recommendations around the perioperative management of patients with
4 suspected or confirmed COVID-19. These are presented in Box 2 and include timing
5 of COVID-19 testing prior to surgery, more detailed reporting of patient and HCW use
6 of PPE, more detailed reporting of the perioperative use of anaesthesia and analgesia,
7 and research into the long term consequences of COVID-19. Together it is anticipated
8 that these recommendations will contribute to improved postoperative outcomes for
9 both patients with COVID-19 and HCWs treating those patients.

1 **Authors' contributions**

2 Study conception and design: HZ, WM, BHS, JH and LAC

3 Data acquisition: HZ, JY, ZZ, XZ, AL, LW, WZ, HLH and AC

4 Data analysis and interpretation: all authors

5 Drafting the article and revising for important intellectual content: all authors

6 Final approval of the published version: all authors.

7

8 **Acknowledgements**

9 We thank Lin Yan and Gang Chen from Department of Anesthesiology and Pain
10 Medicine, Tongji Hospital, Tongji Medical College, Huazhong University of Science
11 and Technology, Wuhan, China, for their efforts in collecting the information that was
12 used in this study; and all the patients and healthcare workers involved in the study.

13

14 **Declaration of interests**

15 LAC is an editor of the *British Journal of Anaesthesia*. The other authors declare that
16 they have no conflict of interest.

17

18 **Funding**

19 Partly supported by the University of Dundee Global Challenges Research Fund.

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Figure 1 - PRISMA flow diagram for the identification and screening of articles for inclusion in the review

Journal Pre-proof

Table 1 – Inclusion and exclusion criteria for studies in the review

Inclusion Criteria	Exclusion Criteria
1. Patients with confirmed or suspected COVID-19 who have undergone surgery or healthcare workers who have treated surgical patients with confirmed or suspected COVID-19	1. Unpublished studies, conference abstracts and research theses or dissertations
2. Observational studies including case reports, case series, case-control, cross-sectional, cohort and randomised control trials.	2. Studies that do not provide any perioperative management details (defined as the time from when the decision to operate was made to 24 hours after surgery).
3. Written in English	3. Studies where the patients are not suspected of or confirmed as having COVID-19 during surgery
	4. Studies that do not report patients that have undergone surgery separately from those that have not undergone surgery.
	5. Studies reporting surgery only conducted to treat COVID-19
	6. Studies ^{13, 14} that included participants that have also been included in the cohort study of this paper

COVID-19, Coronavirus disease 2019

Table 2 – Characteristics and quality assessment of the studies included in this review

Authors	Date of Publication	Country	Study Design	Surgery	Method of Suspecting/Diagnosing COVID-19 in Patient(s)	Sample Size	STROBE/CARE score (%)*
Alzamora <i>et al.</i> ¹⁶	18/04/2020	Peru	Case report	Caesarean section	Nasopharyngeal RT-PCR, CT scan	1	22 (61%)
Catellani <i>et al.</i> ¹⁷	30/04/2020	Italy	Case series	Orthopaedic	Oropharyngeal RT-PCR, thoracic CT scan	16 (13 underwent surgery)	21 (58%)
Chehrassan <i>et al.</i> ¹⁸	14/04/2020	Iran	Cross-sectional	5 Orthopaedic, 1 abdominal	High resolution CT scan	7 (6 underwent surgery)	12 (37%)
Chen <i>et al.</i> ¹⁹	16/03/2020	China	Case series	Caesarean section	Nasal RT-PCR, chest CT Scan	17	22 (61%)
Doglietto <i>et al.</i> ²⁰	12/06/2020	Italy	Cohort	22 Orthopaedic, 7 vascular, 6 neurological, 5 general, 1 thoracic	Nasopharyngeal RT-PCR, chest CT scan, chest radiography	41	26 (76%)
Dong <i>et al.</i> ²¹	26/03/2020	China	Case report	Caesarean section	Nasopharyngeal RT-PCR, chest CT scan	1	18 (50%)
Du <i>et al.</i> ²²	19/05/2020	China	Case report	Caesarean section	Pharyngeal RT-PCR, CT scan	1	18 (50%)
Ferrazzi <i>et al.</i>	27/04/2020	Italy	Case series	Caesarean section	Throat swab RT-PCR	42 (18)	19 (52%)

<i>al.</i> ²³					(confirmative chest X-ray)	underwent surgery)		
Firstenberg <i>et al.</i> ²⁴	19/04/2020	USA	Case report	Cardiothoracic	CT scan (preoperatively), RT-PCT (postoperatively, not explicitly stated)	1	25 (69%)	
Gao <i>et al.</i> ²⁵	18/04/2020	China	Case series	Abdominal	Chest CT scan and radiography (preoperatively), oropharyngeal RT-PCR (postoperatively)	4	17 (47%)	
Gidlöf <i>et al.</i> ²⁶	06/04/2020	Sweden	Case report	Caesarean section	Nasopharyngeal RNA test	1	15 (41%)	
He <i>et al.</i> ²⁷	21/03/2020	China	Case series	Cardiothoracic	CT scan and clinical symptoms	4	13 (36%)	
Lee <i>et al.</i> ²⁸	31/03/2020	Republic of Korea	Case report	Caesarean section	Sputum and nasopharyngeal RT-PCR, chest CT-Scan and chest radiography	1	21 (58%)	
Li <i>et al.</i> ²⁹	2020, exact data unclear	China	Case report	Caesarean section	RT-PCR (not explicitly stated) of sputum sample	1	20 (55%)	
Lu <i>et al.</i> ³⁰	24/04/2020	China	Case report	Caesarean section	Throat swab RT-PCR, chest CT-scan	1	24 (66%)	
Lyra <i>et al.</i> ³¹	20/04/2020	Portugal	Case report	Caesarean section	Nasopharyngeal and oropharyngeal RT-PCR	1	18 (50%)	
Mi <i>et al.</i> ³²	09/06/2020	China	Case series	Not reported	Not reported	28	7 (19%)	

Nepogodiev <i>et al.</i> ³³	29/05/2020	24 countries (led by UK)	Cohort	373 gastrointestinal and general, 302 orthopaedic, 86 cardiothoracic, 62 hepatobiliary, 51 obstetric, 45 vascular, 40 head and neck, 39 neurosurgery, 37 urological, 57 other and 36 missing	Nasal swab or bronchoalveolar lavage RT-PCR, relevant clinical symptoms (including cough, fever or myalgia), or radiological findings (thorax CT)	1128	33 (97%)
Song <i>et al.</i> ³⁴	26/02/2020	China	Case report	Caesarean section	Throat and faecal RT-PCR, chest CT scan	1	22 (61%)
Sun <i>et al.</i> ³⁵	28/04/2020	China	Case series	Caesarean section	Pharyngeal, laryngeal, throat and tracheal tube tip RT-PCR	3	18 (50%)
Wang <i>et al.</i> ³⁶	28/02/2020	China	Case report	Caesarean section	Throat swab RT-PCR, chest CT scan	1	21 (58%)
Xia <i>et al.</i> ³⁷	17/03/2020	China	Case report	Caesarean section	Oropharyngeal RT-PCR, chest CT-scan	1	14 (38%)
Zeng <i>et al.</i> ³⁸	26/03/2020	China	Case series	Caesarean section	Symptoms, chest CT scan and RT-PCR	6	9 (25%)
Zhang <i>et al.</i> ³⁹	08/04/2020	China	Case series	Caesarean section	Suspected: Abnormal CT scan (ground-glass opacity and	4	17 (47%)

					bilateral patchy shadowing), coupled with typical clinical symptoms (fever, cough, headache, sore throat, shortness of breath), sputum. Confirmed: Nasopharyngeal RT-PCR		
Zhao <i>et al.</i> ⁴⁰	18/03/2020	China	Clinical study	10 abdominal, 2 cardiovascular, 6 orthopaedic, 11 gynaecology and obstetrics, 2 neurosurgery and 6 other	Laboratory, imaging (CT-scan) and clinical findings (body temperature)	37	10 (29%)
Zhong <i>et al.</i> ⁴¹	28/03/2020	China	Case series	45 Caesarean section, 4 orthopaedic	Radiology for inclusion in study, confirmation through throat swab RT-PCR	49	26 (72%)

CARE, CAse REport; CT, computed tomography; RNA, ribonucleic acid; RT-PCR, reverse transcriptase-polymerase chain reaction; STROBE, Strengthening The Reporting of Observational Studies in Epidemiology; UK, United Kingdom; USA, United States of America.

*Details of the STROBE and CARE scores are provided in the methods section

Table 3 – Perioperative management details of patients in the rapid review

Study	Type of Surgery	HCW use of PPE	HCW level of PPE	Patient use of PPE	Patient level of PPE	Type of anaesthesia	Pain assessment	Analgesics used	Vasopressors used	Blood products
Alzamora <i>et al.</i> ¹⁶	1 Caesarean section	Not reported	Not reported	Not reported	Not reported	1 General anaesthesia	Not reported	Not reported	Not reported	Not reported
Catellani <i>et al.</i> ¹⁷	13 Orthopaedic	Not reported	Not reported	Not reported	Not reported	13 spinal anaesthesia with nerve block	Not reported	Not reported	Not reported	Not reported
Chehrassan <i>et al.</i> ¹⁸	5 Orthopaedic, 1 abdominal	Unclear	Unclear	Unclear	Unclear	Not reported	Not reported	Not reported	Not reported	Not reported
Chen <i>et al.</i> ¹⁹	17 Caesarean sections	Yes	BSL-3 (N95 masks, goggles, protective suits,	Yes	17 Regular surgical	14 epidural and 3 general anaesthesia	VAS	Epidural anaesthesia - 2% lidocaine,	Not reported	Epidural anaesthesia - M

	thoracic									
Dong <i>et al.</i> ²¹	1 Caesarean section	Not reported	Not reported	Yes	N95 mask	Not reported	Not reported	Not reported	Not reported	Not reported
Du <i>et al.</i> ²²	1 Caesarean section	Yes	Level 3	Yes	N95 mask	Combined spinal and epidural anaesthesia	Not reported	Not reported	Not reported	Not reported
Ferrazzi <i>et al.</i> ²³	18 Caesarean sections	Yes	More strict PPE than just surgical masks	Yes	18 More strict PPE than just surgical masks	Not reported	Not reported	Not reported	Not reported	Not reported
Firstenberg <i>et al.</i> ²⁴	1 Cardiothoracic	Yes	N95 masks with face shield or goggles (in addition to	Not reported	Not reported	General anaesthesia implied from endotracheal	Not reported	Not reported	Not reported	Not reported

			surgical gown and gloves)			tubing (but not explicitly stated)				
<i>Gao et al.</i> ²⁵	4 Abdominal	Yes	Full PPE (Level 3)	Not reported	Not reported	Not reported	Not reported	Not reported	Not reported	N
<i>Gidlöf et al.</i> ²⁶	1 Caesarean section	Yes	Not reported	Not reported	Not reported	Spinal anaesthesia	Not reported	Not reported	Not reported	~20
<i>He et al.</i> ²⁷	4 Cardiothoracic	Yes	Level 3	Not reported	Not reported	General anaesthesia	Not reported	Not reported	Not reported	N
<i>Lee et al.</i> ²⁸	1 Caesarean section	Yes	N95 mask, surgical cap, double gown, double gloves, shoe covers, powered air-purifying	Yes	N95 mask	Spinal anaesthesia	Not reported	0.5% marcaine, fentanyl (injected intrathecally)	Phenylephrine	40

respirator										
Li <i>et al.</i> ²⁹	1 Caesarean section	Yes	Protective suit	Yes	Protective suit	Not reported	Not reported	Not reported	Not reported	Not reported
Lu <i>et al.</i> ³⁰	1 Caesarean section	Yes	Level 3 (gown, N95 mask, eye protection and three-layer latex gloves)	Not reported	Not reported	Combined spinal and epidural anaesthesia	Not reported	Not reported	Not reported	~20
Lyra <i>et al.</i> ³¹	1 Caesarean section	Yes	Level 2	Not reported	Not reported	Regional anaesthesia	Not reported	Not reported	Not reported	Not reported
Mi <i>et al.</i> ³²	Not reported	Not reported	Not reported	Not reported	Not reported	21 Spinal, 3 local and 4 general anaesthesia	Not reported	Not reported	Not reported	Not reported
Nepogodiev <i>et al.</i> ³³	373 gastrointestinal	Not reported	Not reported	Not reported	Not reported	30-day mortality –	Not reported	Not reported	Not reported	Not reported

	and general, 302 orthopaedic, 86 cardiothoracic, 62 hepatobiliary, 51 obstetric, 45 vascular, 40 head and neck, 39 neurosurgery, 37 urological, 57 other and 36 missing						15 local, 32 regional, 217 general anaesthesia; Pulmonary complications - 25 local, 73 regional, 464 general anaesthesia				
Song <i>et</i>	1 Caesarean	Unclear	Unclear	Not	Not	Combined	Not	Tramadol	Yes	30	

<i>al.</i> ³⁴	section			reported	reported	spinal and epidural anaesthesia	reported			
<i>Sun et al.</i> ³⁵	3 Caesarean sections	Yes	Full (N95 mask, eye goggles, face shield, top-to-bottom tight-fitting gown)	Yes	1 Not reported, 2 face masks	1 General and 2 spinal anaesthesia	Not reported	Not reported	Not reported	Not reported
<i>Wang et al.</i> ³⁶	1 Caesarean section	Yes	Level 3	Not reported	Not reported	Combined spinal and epidural anaesthesia	Not reported	Not reported	Not reported	20
<i>Xia et al.</i> ³⁷	1 Caesarean section	Yes	Third-level measure - N95 mask (fit tested),	Not reported	Not reported	Combined spinal and epidural	Not reported	1% ropivacaine	Intravenous methoxamine	~30

			disposable surgical cap, medical goggles or positive-pressure headgear, disposable protective clothing, disposable gloves, disposable shoe covers			anaesthesia				
Zeng <i>et al.</i> ³⁸	6 Caesarean sections	Yes	Protective suits and double masks	Yes	6 masks	Not reported	Not reported	Not reported	Not reported	N repor

Zhang <i>et al.</i> ³⁹	4 Caesarean sections	Not reported	Not reported	Yes	1 Level 2, 3 level 3	Not reported	Not reported	Not reported	Not reported	Not reported	Not reported
Zhao <i>et al.</i> ⁴⁰	10 abdominal, 2 cardiovascular, 6 orthopaedic, 11 gynaecology and obstetrics, 2 neurosurgery and 6 other	Unclear (the study states a protocol including level 3 protective measures for operating room staff but not specified	Not reported	Not reported	Not reported	26 General anaesthesia and 11 spinal anaesthesia	Not reported	Not reported	Not reported	Not reported	Not reported

		for which cases PPE was used)								
Zhong <i>et al.</i> ⁴¹	45 Caesarean sections, 4 orthopaedic	Yes	37 Level 3 and 7 Level 1	Not reported	Not reported	Spinal anaesthesia	Not reported	2% Lidocaine (2ml) and 0.75% isobaric ropivacaine	Not reported	N repe

BSL, biosafety level; cc, cubic centimeter; HCW, health care worker; ml, millilitre; PPE, personal protective equipment; SD, standard deviation;

Box 1 – The National Health Commission of China’s diagnostic criteria for suspected cases of COVID-19 (7th edition).

A case that has any one condition of epidemiological history and any 2 clinical manifestations is considered as a suspected case. If there is no clear epidemiological history, then suspected cases need all 3 clinical manifestations.

A. Epidemiological history

1. History of residence or travel in Wuhan and its surrounding areas, or in other communities with cases reported within 2 weeks prior to the onset of the disease;
2. History of contact with SARS-CoV-2 infected patients (positive results of nucleic acid test) within 2 weeks prior to the onset of the disease;
3. History of contact with patients with fever and/or respiratory symptoms who are from Wuhan and its surrounding areas, or from other communities with cases reported within 2 weeks prior to the onset of the disease;
4. Cluster of infections: 2 or more cases with fever and/or respiratory symptoms occurred in a small area such as home, office, and school class within 2 weeks prior to the onset of the disease.

B. Clinical manifestations

1. Fever and/or respiratory symptoms;
2. Imaging features of COVID-19: multiple patchy shadows and interstitial changes in the early phase, and then multiple ground-glass opacities, infiltration shadows or even consolidation in advanced-phase;
3. Normal or decreased leucocyte and lymphocyte count in the early stage of disease.

Box 2 – Clinical recommendations for the perioperative management of patients with suspected or confirmed COVID-19 and suggestions for further research

A. Clinical Recommendations

During the perioperative period, when COVID-19 is suspected or confirmed:

1. Testing for COVID-19 should be conducted preoperatively. During a pandemic or local outbreak, all patients should be tested.
2. RT-PCR and chest CT (along with relevant clinical signs) should be **conducted** together to confirm COVID-19 diagnosis **and reduce waiting times**.
3. Surgeries should be conducted in negative pressure operating rooms where possible, with HCWs using Level 3 PPE **and patients wearing face masks, if practical**, until further evidence is available. **During a pandemic or local outbreak all HCWs should use Level 3 PPE for surgeries involving untested patients**.
4. Clinicians should consider relevant risk factors of increased mortality in COVID-19 patients including male sex, age >70 yr, poor preoperative condition, malignancy and the urgency and extent of surgery before deciding whether to conduct surgery.
5. Strategies should be implemented to reduce the risk of postoperative respiratory complications and associated mortality (e.g. use of regional anaesthesia over general anaesthesia and postponing surgery for patients with correctable pathophysiology).
6. Clinical management should take account of the potential need for prolonged hospital stay, particularly in high-risk groups.
7. Clinicians should consider the isolation of neonates immediately after birth if the mother is suspected or confirmed as having COVID-19.

B. Research recommendations

1. Optimal approach to perioperative diagnosing of COVID-19 needs to be determined, taking into account the false-negative rate of RT-PCR tests.
2. There should be routine recording and reporting of specific perioperative management approaches when COVID-19 is suspected or confirmed, including anaesthetics/analgesics used, to allow understanding of their relationships with postoperative outcomes.
3. Individual studies should provide more detailed reporting on the duration of PPE use during the perioperative period, by HCWs and patients, when COVID-19 is suspected or confirmed, and whether any changes should be made for specific procedures (e.g. tracheal intubation/extubation).
4. Current and future studies should record and report long-term outcomes of surgery in suspected or confirmed COVID-19 for patients and healthcare workers.

5. The length of time following COVID-19 resolution before a patient can undergo surgery, without increased risk, needs to be established.

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